

FACTS**WHAT DOES MARINER, LLC; MSEC, LLC; MARINER PLATFORM SOLUTIONS, LLC (together “Mariner”) DO WITH YOUR PERSONAL INFORMATION?****Why?**

Financial companies choose how they share your personal information. Federal law gives consumers the right to limit some but not all sharing. Federal law also requires us to tell you how we collect, share, and protect your personal information. Please read this notice carefully to understand what we do.

What?

The types of personal information we collect and share depend on the product or service you have with us. This information can include:

- Social Security number and employment information
- Investment experience, assets and income
- Account numbers, transactions and account balance
- Contact information

When you are *no longer* our client, we continue to share your information as described in this notice.

How?

All financial companies need to share customers’ personal information to run their everyday businesses. In the section below, we list the reasons financial companies can share their customers’ personal information, the reasons Mariner chooses to share, and whether you can limit this sharing.

Reasons we can share your personal information	Does Mariner share?	Can you limit sharing?
For our everyday business purposes – such as to process your transactions, maintain your account(s), respond to court orders and legal investigations, or report to credit bureaus	Yes	No
For our marketing purposes – to offer our products and services to you	Yes	No
For joint marketing with other financial companies	No	We don’t share
For our affiliates’ everyday business purposes – information about your transactions and experiences	Yes	No
For our affiliates’ everyday business purposes – information about your creditworthiness	No	We don’t share
For our affiliates to market to you	Yes	Yes
For nonaffiliates to market to you*	No	We don’t share

To limit our sharing

Call 1-866-673-7795.

Questions? Call 1-866-673-7795 or email privacyrequests@mariner.com

Who we are

Who is providing this notice? *Mariner, LLC; MSEC, LLC; Mariner Platform Solutions, LLC*

What we do

How does Mariner protect my personal information?

To protect your personal information from unauthorized access and use, we use security measures that comply with federal law. These measures include computer safeguards and secured files and buildings.

How does Mariner collect my personal information?

We collect your personal information, for example, when you give us your contact information, open an account, direct us to buy securities, seek advice about your investments, or enter into a contract. We also collect your personal information from others, such as credit bureaus, affiliates, or other companies, including your retirement plan sponsor where our services are available through your employer.

Why can't I limit all sharing?

Federal law gives you the right to limit only certain categories of information sharing. State laws and individual companies may give you additional rights to limit sharing. See below for more on your rights under state law.

What happens when I limit sharing for an account I hold jointly with someone else?

Your choices will apply to everyone on your account.

Definitions

Affiliates

Companies related by common ownership or control. They can be financial and nonfinancial companies.

Nonaffiliates*

Companies not related by common ownership or control. They can be financial and nonfinancial companies.

- We will only share with Nonaffiliates in the following circumstances: We have entered into an agreement with a number of other firms that permits your financial advisor to take certain limited information - your name, address, telephone number, e-mail address and account title - to contact you to service your accounts if your financial advisor leaves the firm, retires or sells his or her practice. You may, however, limit this sharing, and if you choose to do so, Mariner will notify your financial advisor of your decision. Please call 1-866-673-7795 or e-mail privacyrequests@mariner.com to limit this sharing at any time. Please do not send us your account number via e-mail.

Definitions	
Joint marketing	A formal agreement between non-affiliated financial companies that together market financial products or services to you.
Other important information	
<p>VT Residents: For accounts with a Vermont mailing address, we will automatically opt you out of sharing your information with nonaffiliates for marketing purposes unless you have provided Mariner with written authorization to opt you in to such sharing.</p> <p>CA Residents: For accounts with a California mailing address, we will automatically opt you out of sharing your information with nonaffiliates for marketing purposes unless you have provided Mariner with written authorization to opt you in to such sharing. Additionally, you have the right to know what personal information is being collected, whether that information is sold or disclosed and to whom, and the right to stop the sale of your personal information.</p> <p>To learn more about our online privacy practices, please go to https://www.marinerwealthadvisors.com/privacy/</p>	