

Private Investments ("PI") Overview

Mariner offers a robust Private Investments platform with access to a variety of investment offerings and funds that seek to improve client portfolio outcomes.

- 20+ private investments offered annually across asset classes
- Investment optionality for clients with a diverse set of investments across sub-strategies, structures, and investor qualifications
- Strategic partnership with CAIS (www.CAISgroup.com), a leading alternative investment platform for registered investment advisers to facilitate improved access and ease of transacting in private investment opportunities¹
- Differentiated PI access leveraging CAIS and unique Mariner relationships within the private investments ecosystem

PI Resources – Access. Diligence. Transact.

| Access | Diligence | Transact |
|--|---|--|
| <p>Mariner's PI Platform offers access to a diverse set of investment offerings</p> <ul style="list-style-type: none">• Accessible minimums for client investment in a broad selection of investment strategies• Curated offering of investments that can provide portfolio diversification, incremental returns, and supplemental income over long-term | <p>All approved investments undergo a formal due diligence process</p> <ul style="list-style-type: none">• All investments on the CAIS platform undergo 3rd party investment and operational due diligence by a leading institutional investment consultant• Mariner conducts additional diligence and analysis along with multiple investment committee meetings on each approved investment | <p>Ease of transacting to help eliminate client friction in private investments</p> <ul style="list-style-type: none">• Subdocuments are electronically created and signed for ease of use• Reporting and valuations integrated into existing custodian and reporting accounts• Quarterly Mariner PI updates & commentary on investments for advisors |

Asset Classes & Strategies Offered

Private Equity

- PE Secondaries
- Core PE Buyout
- Infrastructure PE
- Family-Office Coinvest

Private Credit

- Senior Direct Lending
- Opportunistic Credit Social Impact Credit
- US/European CLOs

Real Estate & Real Assets

- Core+ RE
- Value-Add RE
- Opportunity Zone Fund
- 1031/DST Exchange Funds

Venture Capital

- VC Fund-of-Funds
- Direct Early-Stage VC

Hedge Funds / Other

- Exchange Fund

Select Mariner Private Investment Offerings & Partnerships¹



Contact Mariner Private Investments at PI@marinerwealth.com

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¹Private investments have specific qualification requirements and not all investments are available to all investors. Not all investment opportunities shown are available through CAIS, Mariner utilizes other access channels for private investments. Past and current offerings are not indicative of any future private investments availability. 1248 Partners, Flyover Capital, and Montage-Ubiquity Holdings are managers and investment offering that are affiliated with Mariner through shared common ownership by 1248 Holdings. Private Investments constitute risk and there can be no guarantee that investments will perform as expected or at all. Alternative investments such as hedge funds, private equity funds, and funds of funds, etc. can result in higher return potential but also higher loss potential.

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