

About Mariner Wealth Advisors

Who is Mariner Wealth Advisors:

- Mariner Wealth Advisors is a privately held, national advisory firm with the resources to help you in all aspects of your financial life.
- We are a team of wealth management professionals who are passionate about helping clients pursue what is most important to them.
- We are a philanthropic organization that, through the associate-run Mariner Foundation, believes in giving back to the communities in which we live and work.

Why Mariner Wealth Advisors:

- **Ranked in the top five 2016 - 2021 RIA Firms by *Barron's*.***
- Mariner Wealth Advisors redefines the client relationship by:
 - Listening to what matters most to clients then partnering with them on a comprehensive wealth plan to help them achieve their goals
 - Having advisors whose only job is to advise their clients day in and day out rather than focusing on developing new business
 - Building customized portfolios based upon each client's specific situation and goals
 - Providing access to investment opportunities traditionally reserved for high-net-worth clients
 - Utilizing a robust technology platform that provides you with your own personal website that can bring organization to your financial life

What Services Mariner Wealth Advisors Provides:

In addition to providing wealth and investment management advice, we can help with:**

- Tax planning and preparation
- Estate document review
- Risk management strategies

Our Process Tailored to Your Goals

We develop an understanding of your long-term vision, assess your situation, going well beyond the balance sheet, then create a personalized plan to help you reach your goals.

Estate Planning & Trust Services

To leave a robust legacy for tomorrow, we recommend you plan early and plan well to help ensure your assets reach your beneficiaries in a tax-efficient manner.

Our services include:

- Design of comprehensive estate plans
- Review and advice on wills, trusts, POAs and healthcare directives
- Charitable planning and gifting strategies
- Strategies to use life insurance in estate plans
- Generation-skipping, wealth transfer strategies

Multigenerational Wealth Planning

We work with you on a wealth transfer strategy to help ensure your wishes are met while minimizing potential issues surrounding wealth transfer such as excessive taxation and family dissent. We guide you through the common wealth transfer pitfalls to help ensure your goals are realized.

Tax Consulting and Preparation

Savvy financial decision-making and investing are incomplete without full consideration of the tax implications. We coordinate strategic approaches to help maximize your after-tax wealth, including:

- Tax return preparation and planning
- Tax compliance
- Multistate strategies
- Tax reduction strategies
- Gift and estate tax planning
- Representation and support for IRS and state tax audits
- Bookkeeping and family office services
- 401(k)/IRA optimization and distribution plans

Investment Management

We will help you achieve your investment objectives including income and growth, while managing risk. We offer:

- Portfolio construction and asset allocation
- Performance reporting
- 401(k) asset allocation strategies
- Due diligence and oversight
- Identification of high-conviction managers and opportunistic investment strategies

Insurance Solutions

We understand the importance of incorporating insurance into your overall wealth planning strategy and specialize in minimizing risks you may not want to take. We offer:

- Asset and income protection strategies
- Insurance product review
- Long-term care strategies
- Needs analysis and strategies for life and disability insurance
- Estate tax reduction strategies

Philanthropy

Sharing your wealth to make a difference in the world can be rewarding. Through our work with high-net-worth families, we have a deep understanding of the factors surrounding charitable giving. Our formalized strategic philanthropy approach helps define your charitable values and concerns. This lays the foundation for your charitable giving strategy.

Business Owners

Often small-business owners need to consider the valuation of their company when creating a wealth plan. Or, maybe you are thinking of retiring and transitioning company ownership. Or, perhaps you need to consider what will happen to your company upon your death. Our services include:

- Business valuation and forensics
- Investment banking services such as fairness opinions and due diligence
- Merger, acquisition and divestitures plans and implementation strategies

Family Governance and Education

High-net-worth families experience a unique set of challenges that can result in undue stress. Our family office services help mitigate the challenges by providing you with comprehensive affairs management for your financial and personal needs.

In addition to the full spectrum of our wealth management services, you can expect access to lifestyle management and custom concierge services.



For more information visit: marinerwealthadvisors.com

**Barron's* awarded the 2021 and 2020 #5, 2019 #4 and 2018 #3 Top RIA Firms rankings to Mariner Wealth Advisors based on data compiled for Mariner Wealth Advisors and the 2017 #2 and 2016 #1 rankings to Mariner Holdings based upon data compiled for Mariner Holdings' registered investment adviser subsidiaries. The number of firms included in the rankings were: 20 (2016), 30 (2017), 40 (2018), 50 (2019) and 100 (2020 and 2021). *Barron's* publishes rankings based upon a number of criteria and the firms' filings with the SEC were used to cross-check the data provided. The listing includes numbers of clients, employees, advisors, offices and state locations. The award is not indicative of future performance and there is no guarantee of future investment success. For additional information visit www.barrons.com.

**Some services mentioned are provided by affiliates of MWA and are subject to additional fees.

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Mariner Wealth Advisors ("MWA"), is an SEC registered investment adviser with its principal place of business in the State of Kansas. Registration of an investment adviser does not imply a certain level of skill or training. MWA is in compliance with the current notice filing requirements imposed upon registered investment advisers by those states in which MWA maintains clients. MWA may only transact business in those states in which it is notice filed or qualifies for an exemption or exclusion from notice filing requirements. Any subsequent, direct communication by MWA with a prospective client shall be conducted by a representative that is either registered or qualifies for an exemption or exclusion from registration in the state where the prospective client resides. For additional information about MWA, including fees and services, please contact MWA or refer to the Investment Adviser Public Disclosure website (www.adviserinfo.sec.gov). Please read the disclosure statement carefully before you invest or send money.