



CERTIFICATE OF REGISTRATION

Issued to:

Mariner, LLC dba Mariner Wealth Advisors

5700 West 112th Street, Suite 200, Overland Park, KS, 66211, United States
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4200 E Skelly Drive, Suite 950, Tulsa, OK, 74135, United States

366 Regency Pkwy, Omaha, NE, 68114, United States

which has demonstrated its conformity to all Practices for:

[Investment Advisor Certification](#)

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for the following Program:

**Providing discretionary investment advisory services and
nondiscretionary consulting services to retirement plan clients.**



Date of Current Registration: 2022.04.30
Date of Initial Registration: 2015.04.30
CEFEX file: CFX10327

On behalf of the CEFEX Registration Committee

David Vriesenga
Chief Rating Officer

Registered by CEFEX, Centre for Fiduciary Excellence, part of Broadridge Fi360 Solutions.



This certificate has been awarded following the successful completion of an assessment to the standard shown above. It does not address all of the products/services provided by the firm. The terms and conditions governing the issuance of this certificate are contained in the Agreement between Fi360, Inc and the firm. This certificate is the property of Fi360, Inc, is valid for 1 year from the Date of Current Registration shown above, and can be renewed annually.