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## CERTIFICATE OF REGISTRATION

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Issued to:

**Mariner, LLC**  
d/b/a  
**Mariner Wealth Advisors**  
5700 West 112<sup>th</sup> Street, Suite 200  
Overland Park, KS 66211  
United States

which has demonstrated its conformity to all Fiduciary Practices for:

### **Investment Advisor Certification**

for the following program:

**Providing discretionary investment advisory services and  
nondiscretionary consulting services to retirement plan clients**

**Date of Current Registration:** 2020.04.30  
**Date of Initial Registration:** 2015.04.30  
**CEFEX File:** CFX10327



On behalf of the CEFEX Registration Committee

A handwritten signature in black ink, appearing to read 'David Vriesenga'.

David Vriesenga  
Chief Rating Officer

**Registered by CEFEX, Centre for Fiduciary Excellence LLC**

This certificate has been awarded following the successful completion of an assessment to the standard shown above. It may not address all the products/services provided by the firm. The terms and conditions governing the issuance of this certificate are contained in the Agreement between CEFEX and the firm.

This certificate is the property of CEFEX, is valid for 1 year from the Date of Current Registration shown above and can be renewed annually.